



LEI Requirements

The following information will be required to process an LEI application:

Field Name	Field Description	Notes:
Legal Name of Organization	The legal name of the organization as supported by regulatory or other official documentation (e.g., articles of incorporation/organization, lender's license, etc.).	
Anglicized Legal Name	The legal name of the organization using only the character requirements of the extended ASCII character set. This is only applicable if your organization has non-Roman characters in its name. Otherwise, this field is not presented or required.	
Legal Entity Identifier (LEI)	The organization's existing LEI, (if the organization already has an LEI and wishes to renew/update its LEI).	
Entity Status	The status of the organization (active or inactive).	
Expiration Reason	If the organization is inactive, the reason the organization became inactive.	
Registration Authority ID	The business registry/registration authority of the organization. Please select "Other" if the Applicant is registered with a business registry/registration authority that is not provided as an option. Please select "No Registration Authority Available" if the Applicant is not registered with any business registry/registration authority.	
Registration Authority Entity ID	The identifier of the organization in the selected business registry/registration authority. This is specific to the business registry/registration authority and is not the same as the LEI. This field is only presented if one of the following business registries/registration authorities	



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	is selected: AK-State of Alaska Corporations Registry, CA- Secretary of State Business Entity Records, MT- Secretary of State Corporate Records, NE- Secretary of State Business Services Division, UT-Division of Corporations and Commercial Code.	
Headquarters Address	The address of the organization's headquarters.	
Legal Address	The organization's registered address according to its documentation for incorporation/organization. This may differ from where the organization is headquartered or physically operating.	
Entity Type	The legal structure of the organization such as Federally Chartered Bank, State Chartered Bank, Credit Union, Corporation, Limited Liability Company, Federal Agency, State Agency, Non-Grantor Statutory Trust, Limited Liability Partnership, Limited Partnership, Professional Corporation, Sole Proprietorship, Fund.	
Entity Category	An indicator of the basic category or type of entity that best describes the organization such as Fund, Sole Proprietor, Bank, Corporation, Government. Other is also available if none of the options provided apply.	
Headquarters LEI	For organizations with Branch as its Entity Category, the LEI of the headquarters organization.	



LEI Requirements

Ultimate Parent LEI	The LEI of the organization's Ultimate Parent. Ultimate Parent is the highest level legal entity preparing consolidated financial statements for the organization. The Ultimate Parent LEI cannot be the same as the LEI for the applicant organization. If you do not provide either the Ultimate Parent LEI or the Ultimate Parent Legal Entity Name, Address, and Registration Authority ID/Entity ID, then you must select an Opt Out reason. (See below for the list of Opt Out Reasons available.)	
Ultimate Parent Legal Entity Name	The legal name of the Ultimate Parent, as supported by regulatory or other official documentation (e.g., articles of incorporation/organization, lender's license, etc.).	
Ultimate Parent Address	The headquarters address for the Ultimate Parent.	
Ultimate Parent Opt-Out	The reason the Ultimate Parent relationship cannot be provided.	
Ultimate Parent Registration Authority ID	The business registry/registration authority of the Ultimate Parent. Please select "Other" if the Ultimate Parent is registered with a business registry/registration authority that is not provided as an option. Please select "No Registration Authority Available" if the Ultimate Parent is not registered with any business registry/registration authority.	



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<p>Ultimate Parent Registration Authority Entity ID</p>	<p>The identifier of the Ultimate Parent in the selected business registry/registration authority. This is specific to the business registry/registration authority and is not the same as the LEI. This field is only presented if one of the following business registries/registration authorities is selected: AK-State of Alaska Corporations Registry, CA- Secretary of State Business Entity Records, MT- Secretary of State Corporate Records, NE- Secretary of State Business Services Division, UT- Division of Corporations and Commercial Code.</p>	
<p>Direct Parent LEI</p>	<p>The LEI of the organization's Direct Parent. Direct Parent is the lowest level legal entity preparing consolidated financial statements for your organization. The Direct Parent LEI cannot be the same as the LEI for the applicant organization. If you do not provide either the Direct Parent LEI or the Direct Parent Legal Entity Name, Address, and Registration Authority ID/Entity ID, then you must select an Opt Out reason. (See below for the list of Opt Out Reasons available.)</p>	
<p>Direct Parent Legal Entity Name</p>	<p>The legal name of the organization's Direct Parent as supported by regulatory or other official documentation (e.g., articles of incorporation/organization, lender's license, etc.).</p>	
<p>Direct Parent Address</p>	<p>The headquarters address for the Direct Parent.</p>	
<p>Direct Parent Opt-Out</p>	<p>The reason the Direct Parent relationship cannot be provided.</p>	



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Direct Parent Registration Authority ID	The business registry/registration authority of the Direct Parent. Please select "Other" if the Direct Parent is registered with a business registry/registration authority that is not provided as an option. Please select "No Registration Authority Available" if the Direct Parent is not registered with any business registry/registration authority.	
Direct Parent Registration Authority Entity ID	The identifier of the Direct Parent in the selected business registry/registration authority. This is specific to the business registry/registration authority and is not the same as the LEI. This field is only presented if one of the following business registries/registration authorities is selected: AK-State of Alaska Corporations Registry, CA- Secretary of State Business Entity Records, MT- Secretary of State Corporate Records, NE- Secretary of State Business Services Division, UT- Division of Corporations and Commercial Code.	



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Opt Out Reason	Opt-Out Description
No LEI	The parent does not consent to have an LEI, or the relationship is not yet known, or a relationship is not yet defined due to the absence of a parental LEI.
Natural Persons	There is no parent according to the definition used, because the entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent.
Non Consolidating	There is no parent according to the definition used, because the entity is controlled by legal entities not subject to preparing consolidated financial statements.
No Known Person	There is no parent according to the definition used, because there is no known person controlling the entity (e.g., diversified shareholding).
Legal Obstacles	Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information.
Consent Not Obtained	The consent of the parent was necessary under the applicable legal framework and the parent did not consent or could not be contacted.
Binding Legal Commitments	Binding legal commitments (other than the laws or regulations of a jurisdiction), such as articles governing the legal entity or a contract, prevent providing or publishing this information.
Detriment Not Excluded	The child entity has sought to consult the parent entity about the reporting of the parent information but could not confirm the absence of detriment in a way that can appropriately prevent liability risks for the child entity.
Disclosure Detrimental	The disclosure of this information would be detrimental to the legal entity or the relevant parent. This will include reasons generally accepted by public authorities in similar circumstances, based on a declaration by the entity.